

No.F.1/5/2004-Jap.II
Government of India
Ministry of Finance
Department of Economic Affairs
Japan-II Section

June 16, 2004

CIRCULAR

Sub: - Inviting of proposals for Japanese Development Study and Technical Cooperation Programmes for the year 2005-06.

Government of Japan have invited proposals for Development Study and Technical Cooperation under their Technical Cooperation Programme for the year 2005-06:

Development Study (Master Plan Study / Feasibility Study):

The development studies carried out by JICA include pre-investment studies that examine the feasibility of the proposed projects, not only in terms of their technical and financial aspects, but also in consideration of economic and social factors, organisation and management and the environment. It mainly consists of the following three types of studies: (1) Master Plan: to outline the proposed development plan, (2) Feasibility Study: to examine suitability / viability of individual projects planned on the basis of the Master Plan, and (3) Detailed Design: to prepare the drawings, specifications, tender documents etc required to be funded by a yen loan or other financial provision. The proforma for submitting proposals for Development Study is enclosed.

2. Technical Cooperation:

JICA carries out Project Type Technical Cooperation through which they provide integrated assistance, from planning and implementation to evaluation, by combining three basic forms of cooperative assistance in a cooperation package: (1) technical training in Japan, (2) dispatch of experts, and (3) provision of machinery and equipment. The programme involves transfer of Japan's technology, experience, technical know-how and expertise to counterpart personnel in recipient countries as a single project within a desirable duration whether it is short or long. The proforma for submitting Technical Cooperation Proposals is enclosed.

2. The entire cost for the above activities is funded by the Government of Japan and implementation is facilitated by JICA. The Indian executing agencies have to provide relevant information to JICA for preparing project documents, counterpart support for project related activities, and arrangement for visit of Japanese project personnel.

3. Your Ministry/Department is requested to prepare proposals including those from state governments to avail of the above offer of Government of Japan and send proposals in **triplicate** in the **prescribed proforma latest by 01.08.2004**. Proposals from the State

Govts should be sent through the concerned central line ministry. Proposals received directly without comments / recommendation of central line ministry will not be entertained. Strict adherence to the deadline will be appreciated.

(V.Vumlunmang)
Dy.Secretary (Japan)
Tel: 23092423

1. Shri Bhanu Pratap Sharma, Joint Secretary, M/o.Health & Family Welfare, Department of Health, Nirman Bhavan, New Delhi.
2. Shri.Navpreet Singh Kang, Joint Secretary, M/o.Health & Family Welfare, Department of Health, Nirman Bhavan, New Delhi.
3. Shri.Naved Masood, Joint Secretary, M/o.Agriculture, Department of Agriculture & Cooperation, Krishi Bhavan, New Delhi.
4. Ms.A.K.Ahuja, Joint Secretary, M/o.Rural Development, Department of Rural Development, Krishi Bhavan, New Delhi.
5. Shri.Sudhir Mittal, Joint Secretary, M/o.Environment and Forests, Paryavaran Bhavan, CGO Complex, Lodhi Road, New Delhi.
6. Shri.Alok Rawat, Joint Secretary, M/o. Road Transport & Highway, Transport Bhavan, New Delhi.
7. Shri.R.K.Jain, Joint Secretary (Ports), M/o.Shipping, Transport Bhavan, New Delhi.
8. Shri.B.K.Sinha, Joint Secretary, M/o.Chemicals & Fertilizers, Department of Fertilizers, Shastri Bhavan, New Delhi.
9. Dr.Surajit Mitra, Joint Secretary, Department of Development of North Eastern States, Vigyan Bhavan, New Delhi.

Copy also to:

1. The Chief Secretary, Government of Andhra Pradesh, Hyderabad.
2. The Chief Secretary, Government of Arunachal Pradesh, Itanagar.
3. The Chief Secretary, Government of Assam, Guwahati.
4. The Chief Secretary, Government of Bihar, Patna.
5. The Chief Secretary, Government of Chhattisgarh, Raipur.
6. The Chief Secretary, Government of NCT of Delhi, Delhi
7. The Chief Secretary, Government of Gujarat, Gandhinagar.
8. The Chief Secretary, Government of Goaa, Panaji.
9. The Chief Secretary, Government of Haryana, Chandigarh.
10. The Chief Secretary, Government of Himachal Pradesh, Shimla.
11. The Chief Secretary, Government of Jammu & Kashmir, Srinagar.
12. The Chief Secretary, Government of Jharkhand, Ranchi.
13. The Chief Secretary, Government of Karnataka, Bangalore
14. The Chief Secretary, Government of Kerala, Thiruvananthapuram
15. The Chief Secretary, Government of Madhya Pradesh, Bhopal.
16. The Chief Secretary, Government of Maharashtra, Mumbai

17. The Chief Secretary, Government of Manipur, Imphal
18. The Chief Secretary, Government of Meghalaya, Shillong.
19. The Chief Secretary, Government of Mizoram, Aizawl.
20. The Chief Secretary, Government of Nagaland, Kohima
21. The Chief Secretary, Government of Orissa, Bhubaneswar.
22. The Chief Secretary, Government of Punjab, Haryana
23. The Chief Secretary, Government of Rajasthan, Jaipur.
24. The Chief Secretary, Government of Sikkim, Gangtok
25. The Chief Secretary, Government of Tamilnadu, Chennai
26. The Chief Secretary, Government of Tripura, Agartala.
27. The Chief Secretary, Government of Uttaranchal, Dehradoun.
28. The Chief Secretary, Government of Uttar Pradesh, Lucknow.
29. The Chief Secretary, Government of West Bengal, Kolkata.

APPLICATION FORM JAPAN'S TECHNICAL COOPERATION

1. Date of Entry: Day _____ Month _____ Year _____

2. Applicant: The Government of _____

3. Project Title: _____

4. Implementing Agency: _____

Address: _____

Contact Person: _____

Tel.No: _____ Fax No. _____

E-mail: _____

5. Background of the Project

(Current conditions of the sector, Government's development policy for the sector, issues and problems to be solved, existing development activities in the sector, etc.)

6. Outline of the Project

(1) Overall Goal

(Development effect expected as a result of achievement of the 'Project Purpose' in several years after the end of the project period)

(2) Project Purpose

(Objective expected to be achieved by the end of the project period. Elaborate with quantitative indicators if possible)

(3) Out puts

(Objectives to be realized by the “Project Activities” in order to achieve the “Project Purpose”)

(4) Project Activities

(Specific actions intended to produce each “Output” of the project by effective use of the “Input”)

(5) Input from the Recipient Government

(Counterpart personnel (identify the name and position of the Project manager), support staff, office space, running expenses, vehicles, equipment, etc.)

(6) Input from the Japanese Government

(Number and qualification of Japanese experts, training (in Japan and in-country) courses, seminars and workshops, equipment, etc.)

7. Implementation Schedule

Month_____ Year _____Month_____Year_____

8. Implementing Agency

(Budget, staffing, etc.)

9. Related Activities

(Activities in the sector by the recipient government, other donors and NGOs)

10. Gender Consideration

(Any relevant information of the project from gender perspective)

11. Environmental and Social Considerations

(Please fill in the attached screening format.)

12. Beneficiaries

(Population for which positive changes are intended directly and indirectly by implementing the project and gender disaggregated data, if available)

13. Security Conditions

14. Others

Screening Format

Question 1 Address of a project site

Question 2 Outline of the project

2-1 Does the project come under following sectors?

Yes No

If yes. Please mark corresponding items.

- Mining development
- Industrial development
- Thermal power (including geothermal power)
- Hydropower, dams and reservoirs
- River/erosion control
- Power transmission and distribution lines
- Roads, railways and bridges
- Airports
- Ports and harbors
- Water supply, sewage and waste treatment
- Waste management and disposal
- Agriculture involving large-scale land-clearing or irrigation
- Forestry
- Fishery
- Tourism

2-2 Does the project include the following items?

Yes No

If yes: please mark following items.

- Involuntary resettlement (scale: households, persons)
- Groundwater pumping (scale: m³/year)
- Land reclamation, land development and land-clearing (scale: hectares)
- Logging (scale: hectares)

2-3 Did the proponent consider alternatives before request?

- Yes: Please describe outline of the alternatives
- No

2-4 Did the proponent have meetings with related stakeholders before request?

- Yes No

If yes: please mark the corresponding stakeholders.

- Administrative body
- Local residents
- NGO
- Others

Question 3

Is the project a new one or an on-going one? In case of an on-going one, have you received strong complaints etc. from local residents?

New On-going (there are complaints) On-going (there are no complaints)

Other []

Question 4 Name of laws or guidelines:

Is Environmental Impact Assessment (EIA) including Initial Environmental Examination (IEE) required for the project according to laws or guidelines in the host country?

Yes No

If yes, please mark corresponding items.

- Required only IEE (Implemented, on going, planning)
- Required both IEE and EIA (Implemented, on going, planning)
- Required only EIA (Implemented, on going, planning)

Others: []

Question 5

In case of that EIA was taken steps, was EIA approved by relevant laws in the host country?

If yes, please mark date of approval and the competent authority:

Approved: without a supplementary condition	Approved: with a supplementary condition	Under appraisal
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(Date of approval: Competent authority:)

Not yet started an appraisal process

Others: ()

Question 6

If a certificate regarding the environment and society other than EIA, is required, please indicate the title of certificate.

Already certified Required a certificate but not yet done

Title of the certificate:()

Not required

Others []

	Accidents		Infectious diseases such as HIV/AIDS
	Global warming		Etc.
	Involuntary resettlement		Others ()
	Local economy such as employment and livelihood etc		
	Land use and utilization of local resources		

Outline of related impacts:

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APPLICATION FORM JAPAN'S DEVELOPMENT STUDY PROGRAM

Date of entry: month _____ year _____

Applicant: the Government of _____

1. Project digest

(1) Project Title: _____

* Enter the project title in English (Spanish or French)

(2) Location (province/country name): _____

(city/town/village name): _____

from the metropolis: about _____ hours' ride/flight

(3) Implementing Agency

Name of the Agency: _____

*Enter the name of the implementing agency including such details as the name of the bureau or department.

Number of Staff of the Agency: _____

(on a category basis)

Budget allocated to the Agency: _____

* Attach an organizational chart, and mark the department responsible for the study.

(4) Justification of the Project

* Provide detailed information of the project regarding the items below.

Present conditions of the sector:

-The Project's priority in the National Development Plan/Public Investment Program:

(5) Desirable or Scheduled time of the commencement of the Project:

Month ----- Year -----

(6) Expected funding source and/or assistance (including external origin) for the Project:

*Describe the concrete policies for the realization of the project, and enter the prospects for realization and funding sources.

(7) Other relevant Projects, if any

(8) Any relevant information of the project from gender perspective.

2. Terms of Reference of the proposed Study

*Please fill in (1) and (2) below, paying particular attention to the following items.

- In the case that a study was conducted in the same field in the past, describe the grounds for requesting this study, then represent status of the previous project, and the situation regarding the technology transfer.
- Whether there are existing studies regarding this requested study or not.
- Coordination with other economic and technical cooperation from Japan.

(1) Necessity/Justification of the Study:

(2) Necessity/Justification of the Japanese Technical Cooperation:

(3) Objectives of the Study:

* Desirable the objectives of the study in detail. Also, indicate who will benefit from the study in as much detail as possible, including gender disaggregated data and describe the beneficial effect in terms of quantity. Enter in a concise manner the goal expected to be achieved in the future by conducting the study.

* When the requested study is the only input scheme there is in the cooperation program, enter the same sentences given in the “Objectives of the Cooperation Program” in the summary sheet. When more than one scheme is requested including this one, describe clearly the role of the requested study.

(4) Area to be covered by the Study:

* Enter the same of the target area for the study and attach a rough map to the documents submitted. The attached map should be at a scale that clearly shows the project site. Mark the site in red

(5) Scope of the Study:

* Enter in a concise manner using an itemized statement.

(6) Study schedule:

*Enter the time/period of the study

(7) Expected major Outputs of the Study:

(8) Possibility o be implemented/Expected funding resources:

(9) Environmental and Social Considerations

* Please fill in the attached screening formal.

(10) Request of the Study to the donor agencies, if any:

* Please pay particular attention to the following items:

- Whether you have requested the same study to other donors or not
- Whether any other donor has already started a similar study in the target area or not.
- Presence/absence of cooperation results or plans by third-countries or international agencies for similar projects
- In the case that a study was conducted in the same field in the past, describe the grounds for requesting this study, the present status of the previous project, and the situation regarding the technology transfer.
- Whether there are existing studies regarding this requested study or not. (Enter the time/period, content and concerned agencies of the existing studies)

(11) Other relevant information

* Enter relevant information other than that described above, if any

3. Facilities and information for the Study

(1) Assignment of counterpart personnel of the implementing agency for the Study:
(number, academic background, etc)

(2) Available data, information, documents, maps, etc related to the Study:

(Please attach the list)

(3) Information on the security conditions in the Study Area:

4. Global Issues (Gender, Poverty, etc)

(1) Women as main beneficiaries or not

(2) Project components which require special considerations for women (such as gender difference, women specific role, women's participation), if any.

(3) Anticipated impacts on women caused by the Project, if any

(4) Poverty alleviation components of the Project, if any

(5) Any constraints against the low-income people caused by the Project

5. Undertaking of (the recipient country)

(1) To facilitate the smooth conduct of the Study; the Government of (the recipient country) shall take necessary measures:

- 1) To permit the members of the Team to enter, leave and sojourn in (the recipient country) for the duration of their assignments therein and exempt them from foreign registration requirements and consular fees;
- 2) To exempt the members of the team from taxes, duties and any other charges on equipment, machinery and other material brought into (the recipient country) for the implementation of the study;
- 3) To exempt the members of the team from income tax and charges of any kind imposed on or in connection with any emolument or allowances paid to the members of the team for their services in connection with the implementation of the Study;
- 4) To provide necessary facilitate to the team for the remittance as well as utilization of the funds introduced into (the recipient country) from Japan in connection with the implementation of the Study;

(2) The Government of (the recipient country) shall bear claims, if any arises, against the members of the team resulting from, occurring in the course of, or otherwise connected with, the discharge of their duties in the implementation of the Study, except when such claims arise from gross negligence or willful misconduct on the part of the team.

(3) (The implementing Agency) shall act as counterpart agency to the Japanese Study Team and also as coordinating body in relation with other governmental and non-governmental organizations concerned for the smooth implementation of the Study.

(4) (The implementing agency) shall, at its own expense, provide the Team with the following, in cooperation with other organizations concerned:

- 1) Security-related information on as well as measures to ensure the safety of the team;
- 2) Information on as well as support in obtaining medical service;
- 3) Available data and information related to the Study;
- 4) Counterpart personnel;
- 5) Suitable office space with necessary office equipment and furniture;
- 6) Credentials or identification cards; and
- 7) Vehicles with drivers

(5) (The implementing Agency) will, as the executing agency of the project, take responsibilities that may arise from the products of the Study.

* In the case that Detail Design Study is requested.

The Government of (the recipient country) assures that the matters referred to in this form will be ensured for the smooth conduct of the Development Study by the Japanese Study team.

Signed: -----

Title: -----

On behalf of the Government of: -----

Date: -----

Screening Format

Question 1 Outline of the project

1-1 Does the project come under following sectors?

Yes

No

If yes, please mark corresponding items.

Mining development

Industrial development

Thermal power (including geothermal power)

Hydropower, dams and reservoirs

River/erosion control

Power transmission and distribution lines

Roads, railways and bridges

Airports

Ports and harbors

Water supply, sewage and waste treatment

Waste management and disposal;

Agriculture involving large-scale land-clearing or irrigation

Forestry

Fishery

Tourism

1-2 Does the project include the following items?

Yes

No

If yes, please mark following items.

Involuntary resettlement (scale: households persons)

Groundwater pumping (scale: m³/year)

Land reclamation, land development and land clearing (scale: hectors)

Logging (scale: hectors)

1-3 Did the proponent consider alternatives before request?

Yes: Please described outline of the alternatives ()

No

1-4 Did the proponent have meetings with the related stakeholders before request?

Yes

No

If yes, please mark the corresponding stakeholders.

Administrative Body

Local residents

NGO

Others ()

Question 2

Is the project a new one or an on-going one? In case of an on-going one, have you received strong complaints etc. from local residents?

New On-going (there are complains)

On-going (there are no complaints)

Others

[]

Already certified

Required a certificate but not yet done

Title of the certificate : ()

Not required

Others

[]

Question 6

Are following areas located inside or around the project site ?

Yes No Not identified

If yes, please mark corresponding items:

National parks, protected areas designated by the government (coast line, wetlands, reserved area for ethnic or indigenous people, cultural heritage) and areas being considered for national parks or protected areas.

Virgin forests, tropical forests

Ecological important habitat areas (coral reef, mangrove wetland, tidal flats)

Habitat of valuable species protected by domestic laws or international treaties

Likely salts cumulus or soil erosion areas on a massive scale

Remarkable desertification trend areas

Archaeological, historical or cultural valuable areas

Living areas of ethnic, indigenous people or nomads who have a traditional lifestyle, or special socially valuable area.

Question 7

Does the project have adverse impacts on the environment and local communities /

Yes No Not identified

Reason:

[]

Question 8

9-1 If the environmental and social considerations are required, does the proponent agree on information disclosure and meetings with stakeholders in accordance with JICA Guidelines for Environmental and Social Considerations?

Yes

No

9-2 If no, please describe reasons below.

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